

NIH ASSIST Proposal Submission: "So Many Attachments, So Little Time"



National Institutes of Health



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Presentation Outline

- COUES Record
- ASSIST Record
- Proposal specific Attachments(<u>R01</u>, <u>R21</u>, <u>K</u> (Individual Career Development), <u>F</u>(Fellowship), <u>T</u> (Training Award)
- Biosketch



ITEMS NEEDED TO SUBMIT A PROPOSAL IN ASSIST

COUES RECORD

Internal Approvals

ASSIST RECORD

Proposal to the Sponsor



COUES RECORD

CoeusLite	~ 7 1	/ 1		1 / 1	., <	\mathcal{I}
Coeus Home My Negotiations	My Proposals	My COI	My IRB Protocols	My IACUC Protocols	Inbox	My ARRA
Coeus Lite Grants. Gov Help	My Protocols applications and My Proposals - applications for I grant application correction. OSP A COI Disclosure in which they hol Inbox - List of R	- Allows Prin review detaile Allows Users Institutional Ap as. Institute A Authorized Adr - Allows indiv Id interest.	d information asso to view and prepa oproval. Institution Approvers can vie ninistrators can su iduals to provide c	s to prepare and ciated with their exist are grant applications Administrators can w, approve, or reject bmit applications to C data and required upon es from Coeus applic	ting protocols. and route their see and approve ct proposals for Grants.gov. dates on entities	Coeus Mis To creat support electroni manage sponsore proposal through while fos practices innovativ the know national research



WHAT IS THIS?

- COEUS is Drexel's internal routing system. This "tells" Drexel that the PI wants to apply for a Sponsored Research Project.
- The Research Administrator (RA)/Principal Investigator (PI) role is to create the COUES record.
- Pre-Award's role is to review and approve COEUS Proposal.
- Pre-Award does not create COUES Proposals. That is up to the Department.
- We can assist with what data goes where, but we do not perform this task.
- NO COEUS NO SUBMIT (more on this...)



COUES Help

On this page, there is a training exercise guide that walks you through setting up the record:

https://drexel.edu/research/proposal/electronic-research-administration/

This page also answers general questions about the COEUS process

If you require help with any COUES related issues, please email <u>COUES@Drexel.edu</u> for further assistance.



WHY DO WE NEED A COUES?

- COUES not System to System so we need to know what the PI is submitting. InfoEd system will fix this issue in the future.
- Need all dept level approvals to submit to sponsor
- COEUS Lite transfers data to COEUS premium.
 - We can not set up an award without a COEUS Lite Proposal.
- If the PI submits to a Sponsor directly, they will still need a COEUS Proposal.
- If the PI received an award that they applied to privately, they still need a COEUS Proposal.



How to get started?

- Solicitation Example: <u>https://grants.nih.gov/grants/guide/pa-files/PA-19-056.html</u>
- SF424 Guide <u>https://grants.nih.gov/grants/how-to-apply-application-guide/forms-e/general-forms-e.pdf</u>



WHAT ATTACHMENTS SHOULD BE UPLOADED INTO COUES?

- **Budget Justification** (Drexel's)
- **Abstract** (either uploaded as a separate document in Upload Attachments or populated in the Abstract tab of the COEUS Proposal)
- **FCOI** Conflict of interest forms for each Key Personnel on the project working at Drexel University.
 - Must be signed by PI.
 - Dated within the last year.
- Subcontractor Documentation (if applicable)
 - Budget (separate from main budget)
 - Budget Justification (separate from main budget, includes federally-negotiated F&A/ Indirect Cost rate)
 - Statement of Work (SOW)
 - Subrecipient Commitment Form(s)



COUES BUDGET AND BUDGET JUSTIFICATION

- Subrecipient's budget that is separate from the overall budget
- Subrecipient's detailed budget justification which includes their federally-negotiated F&A/ Indirect Cost rate (Drexel does not negotiate the F&A rate. Our rate can be different from Subrecipient's F&A rate.



Subrecipient's Statement of Work

Should clearly state:

- Background Information
- Scope
- Objectives
- Services to be performed



SUBRECIPIENT COMMITMENT FORM

- The Subrecipient Commitment Form is designed to collect information about each Subrecipient named in a Drexel proposal.
- The information on the Subrecipient Commitment Form helps ORA conduct a risk assessment of the Subrecipient.
- Commits the subrecipient to working with Drexel if the proposal is awarded.
- Subrecipient certifies that the information submitted is true and correct.

SCF Template:

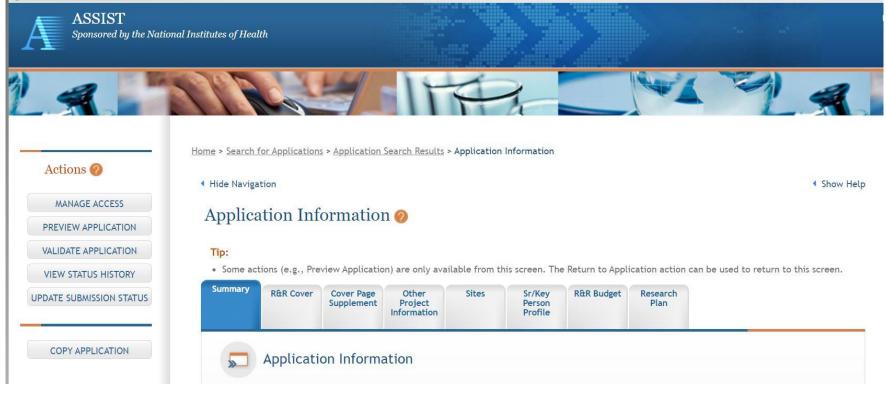
https://drexel.edu/research/proposal/prepare/proposal-processing/



ASSIST RECORD

LL-U.S. Department of Health & Human Services

🕤 eRA Commons 🅤 Home 🌖 Logout 🛛 🕜 Service Desk





ASSIST ATTACHEMENTS FORMAT

- JPEG or PNG format
- File names max 50 characters
- Font | 11+ | Arial | Georgia | Helvetica | Palatino Linotype recommended fonts
- Headings | Highly encouraged (e.g., Significance, Innovation) within attachments
- Hyperlinks & URLs | Limited to publications in biosketches & publication list
- Margins | 0.5+ top, bottom, right, left | No page numbers | No headers or footers
- Page Size | 8½ x 11



Tools for Assist Applications

- SF424 Guide <u>https://grants.nih.gov/grants/how-to-apply-application-guide/forms-e/general-forms-e.pdf</u>
- Specific FOA <u>https://grants.nih.gov/grants/guide/pa-files/PA-20-185.html</u>
- Page Limits <u>https://grants.nih.gov/grants/how-to-apply-application-guide/format-and-write/page-limits.htm</u>
- Biosketch Instructions -<u>https://grants.nih.gov/grants/forms/biosketch.htm</u>



ATTACHMENTS NEEDED





R&R Cover



Pre-Application – If Applicable

Cover Letter – Resubmissions



Other Project Information



- Project Summary/Abstract
- Project Narrative
- Bibliography & References Cited
- Facilities & Other Resources
- Equipment



Sr/Key Person Profile

Summary R&R Cover Cover Page Other Sites Sr/Key Person Project Information	R&R Budget	R&R Subaward Budget	Research Plan	Human Subjects and Clinical Trials
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- Biosketches for Sr/Key Personnel
- Refer to the following link for instructions:

https://grants.nih.gov/grants/forms/biosketch.htm



R&R Budget/Subaward Budget



Drexel's Budget Justification



Subrecipient Budget Justification (Separate from Drexel's Justification)



Research Plan

Summary	R&R Cover	Cover Page Supplement	Other Project Information	Sites	Sr/Key Person Profile	R&R Budget	R&R Subaward Budget	Research Plan	Human Subjects and Clinical Trials	Assignment Request Form
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Introduction (if Resubmission and Revision Application)	Consortium/Contractual Arrangements (if Subaward is on the application)
Specific Aims	Letters of Support
Research Strategy	Resource Sharing Plan(s)
Vertebrate Animals	Authentication of Key Biological and/or Chemical Resources
Multiple PD/PI Leadership Plan	Appendix (If applicable)



Human Subjects and Clinical Trials Information

Summary	R&R Cover	Cover Page Supplement	Other Project Information	Sites	Sr/Key Person Profile	R&R Budget	R&R Subaward Budget	Research Plan	Human Subjects and Clinical Trials	Assignment Request Form
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 If Human Subject are involved, a study record is needed with the following attachments (depending on responses to questions in this section)

Inclusion of Women, Minorities, and Children	Data and Safety Monitoring Plan
Recruitment and Retention Plan	Overall Structure of the Study Team
Study Timeline	Statistical Design and Power
Inclusion Enrollment Report(s)	Dissemination Plan
Protection of Human Subjects	



BIOSKETCH

DMB No. 0925-0001 and 0925-0002 (Rev. 09/17 Approved Through 03/31/2020)

BIOGRAPHICAL SKETCH Provide the following information for the Seniorikey personnel and other significant contributors. Follow this format for each person. DO NOT EXCEED FIVE PAGES.

NAME:

eRA COMMONS USER NAME (credential, e.g., agency login):

POSITION TITLE:

EDUCATION/TRAINING (Begin with baccalaureate or other initial professional education, such as nursing, include postdoctoral training and residency training if applicable. Add/delete rows as necessary.)

INSTITUTION AND LOCATION	DEGREE (if applicable)	Completion Date MM/YYYY	FIELD OF STUDY

A. Personal Statement

B. Positions and Honors

C. Contributions to Science

D. Additional Information: Research Support and/or Scholastic Performance

https://grants.nih.gov/grants/forms/biosketch.htm



BIOSKETCH

- Use the sample format page that is approved through 03/31/2020.
- Max 5 pages.
- No figures, tables, or graphics



BIOSKETCH CONTINUED

4 complete sections:

A. Personal Statement	B. Positions and Honors
Cite up to 4 publications or research products.	List in chronological order.
C. Contributions to Science.	D. Additional Information
Describe up to 5 contributions.	Research Support and/or Scholastic Performance.
Max ½ page per entry.	
Cite up to 4 publications or research products per contribution	



Common Biosketch Mistakes

- Biosketch is over 5 pages
- More than 4 publications in Contributions to Science
- Research Support section contain projects with expired end dates



Questions?





THANK YOU

for participating today!

We will be emailing you a copy of this PowerPoint presentation so that you may use it for reference.



ADDITIONAL QUESTIONS?

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Additional Resources:

Please visit <u>drexel.edu/research</u> for the following resources:

- Training and Tutorials on COEUS
- A list of PAs by School/Department
- Links to the Advance Account Form
- FAQs

Always feel free to contact Pre-award with any questions or for additional information: <u>http://drexel.edu/research/about/pa/</u>